



Investment Advisor Representative

John L. Simmons

CRD # 3174471

Shepherd Financial, LLC
111 Congressional Boulevard, Suite 100
Carmel, Indiana 46032
Phone: (317) 975-5033

August 22, 2023

Associated with the following Registered Investment Advisor

Shepherd Financial Investment Advisory, LLC

CRD # 288623

111 Congressional Boulevard, Suite 100
Carmel, Indiana 46032
Phone: (317) 975-5033

This brochure supplement provides information about your Investment Adviser Representative (“your Advisor”), John L. Simmons, who is registered and associated with Shepherd Financial Investment Advisory, LLC (Shepherd Financial Investment Advisory). This brochure is a supplement to the Shepherd Financial Investment Advisory Form ADV 2A brochures, of which you should have already received.

Additional information about your Investment Adviser Representative is available via the U.S. Securities and Exchange Commission's website at www.adviserinfo.sec.gov.

Please Note: If you have not received a copy of the Form ADV 2A brochure, please contact Steven M. Wylam, Chief Compliance Officer, at (317) 975-5034. Brochure requests and additional questions may also be directed to Shepherd Financial Investment Advisory at (317) 975-5033.

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

John L. Simmons
Year of Birth: 1948

Education

University of Kansas (no degree attained)
Kansas State University (no degree attained)
Dodge City Community Junior College, A.A., 1968

Business Experience

Partner, Shepherd Financial, August 2017 – Present
Investment Adviser Representative, Shepherd Financial Investment Advisory CRD # 288623, June 2021 – Present
Investment Adviser Representative, Lincoln Investment CRD # 519, August 2017 – Present
Investment Adviser Representative, Capital Analysts CRD # 162200, August 2017 – Present
Registered Representative, Lincoln Investment CRD #519, August 2017 – Present
Investment Adviser Representative, Sagepoint Financial CRD # 133763, October 2008 – August 2017
Registered Representative, Sagepoint Financial CRD # 133763, October 2008 – August 2017

Professional Licenses / Designations

Mr. Simmons holds and maintains the following securities and insurance licenses and registrations required to engage in the offering of securities and investment products.

SIE – Securities Industry Essentials Examination
Series 6 - Investment Company Products / Variable Contracts Representative Examination
Series 7 - General Securities Representative Examination
Series 63 - Uniform Securities Agent State Law Examination
Series 65 - Uniform Investment Adviser Law Examination
Indiana Resident Insurance Producer #2973740 – Life, Accident & Health, Variable Life & Annuity, Property & Casualty

DISCIPLINARY INFORMATION

Mr. Simmons has no material legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Mr. Simmons is a registered representative and investment adviser representative of Lincoln Investment and an investment adviser representative of Capital Analysts. Lincoln Investment and Capital Analysts are affiliated entities under common control. Mr. Simmons may offer the services of either company when making financial recommendations to you. These companies offer similar services and products, but with different pricing structures for the services being offered. This may present a conflict of interest in that a recommendation to use a particular firm may be viewed as being in the best economic interest of Mr. Simmons. If you purchase commissionable products through your Advisor, your Advisor will receive a

commission, and in the case of mutual funds, possibly a 12b-1 fee. You are under no obligation to purchase commissionable products through Mr. Simmons. However, please note Mr. Simmons will recommend to you the services he believes are most appropriate for you.

Mr. Simmons is licensed to solicit, offer, and sell insurance products. Your Advisor may be appointed with various insurance companies. Mr. Simmons may receive separate, yet customary, commission and other financial incentive compensation resulting from the purchases and sales of insurance products. You are under no obligation to purchase insurance products through Mr. Simmons.

Your Advisor may be engaged in other outside business activities that have been identified as follows: Mr. Simmons is an employee of Shepherd Financial, LLC, the operating entity for Shepherd Financial Investment Advisory. In addition, Mr. Simmons OF ADVISOR is a member of Shepherd Financial Holdings, LLC, which is the ownership entity for Shepherd Financial and Shepherd Financial Investment Advisory.

ADDITIONAL COMPENSATION

Please refer to the Fees and Compensation section in Shepherd Financial Investment Advisory's Form ADV 2A of which should have been provided to you by your Advisor. This section describes in detail the potential other forms of compensation, in addition to the advisory fee, that may be shared with Mr. Simmons and other Financial Advisors by Shepherd Financial Investment Advisory.

For non-advisory product purchases, your Advisor may receive a sales commission which may be in addition to any advisory fees received on your advisory assets. In these situations, your Advisor may be financially incentivized to offer you both non-advisory and advisory products and services.

Lincoln Investment provides incentives, such as sales conferences, for financial advisors, the eligibility for which may be based on the number of advisory accounts or the total assets under management. This may present a potential conflict of interest. Shepherd Financial Investment Advisory hold their Financial Advisors to a Code of Ethics and owe a fiduciary duty to all clients and require your Financial Advisor to always place your interests above his or her own and to avoid any recommendation that would not be in your best interest. It is each financial advisor's responsibility to understand and adhere to the requirements of the Code of Ethics, as well as applicable laws, regulations, and rules.

SUPERVISION

The individual responsible for monitoring the advisory activities of Mr. Simmons is Steven M. Wylam, Chief Compliance Officer. Mr. Wylam or his designee is responsible to review the recommended financial plans and transactions of your Financial Advisor. The Chief Compliance Officer provides an oversight of the activities occurring in your account(s). If you have any questions about the trading or recommendations in your account, please call Mr. Wylam at (317) 975-5033.